



National Fenestration Rating Council Incorporated

NFRC FEE SCHEDULE-2008

Fee Schedule for
Product Certification Program (PCP),
Certification Agency Program (CAP) and
Laboratory Accreditation Program (LAP)

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FOREWORD

The National Fenestration Rating Council Incorporated ("National Fenestration Rating Council") has developed and operates a uniform national rating system for energy performance of fenestration products, including windows, doors, skylights and similar products. The linchpin of the Rating System is a procedure for determining the thermal transmittance ("U-factor") of a product. The U-factor rating procedure is supplemented by procedures for rating products for solar heat gain coefficient ("solar heat gain" or "SHGC"), visible transmittance ("VT") and air leakage ("AL"). Together, these rating procedures, as set forth in documents published by NFRC, are known as the NFRC Rating System.

The Rating System employs computer simulation and physical testing by NFRC-accredited laboratories to establish energy performance ratings for fenestration products and product lines. The Rating System is reinforced by a certification program under which fenestration manufacturers/responsible parties may label and certify fenestration products to indicate those energy performance ratings, if the ratings are authorized for certification by an NFRC-licensed independent certification and inspection agency ("IA"). The requirements of the rating, certification and labeling program (the "Certification Program") are set forth in the NFRC PCP Product Certification Program (most recent version), as amended, updated or interpreted from time to time (the "PCP"). Through the Certification Program and its companion laboratory accreditation program (the "Accreditation Program"), set forth in the NFRC LAP Laboratory Accreditation Program, as amended, updated or interpreted from time to time (the "LAP") and IA licensing program (the "IA Program"), set forth in the NFRC CAP Certification Agency Program (most recent version), as amended, updated or interpreted from time to time (the "CAP"), NFRC intends to ensure the integrity and uniformity of NFRC ratings, certification and labeling by ensuring that testing and simulation laboratories and IAs adhere to strict NFRC requirements.

In order to participate in the Certification Program, a manufacturer/responsible party shall rate a product to be certified for one or more of the NFRC rating procedures. At present, a manufacturer/responsible party may elect to rate products for U-factor, solar heat gain coefficient, visible transmittance, air leakage, condensation resistance or any other procedure adopted by NFRC and to include those ratings on the NFRC Temporary Label affixed to its products (or the NFRC Label Certificate). U-factor, SHGC VT, Air Leakage, and Condensation Resistance rating reports shall be obtained from a laboratory that has been accredited by NFRC in accordance with the requirements of the LAP. The rating shall then be reviewed by an Independent Inspection and Certification Agency which has been licensed by NFRC in accordance with the requirements of the CAP and the IA must issue a

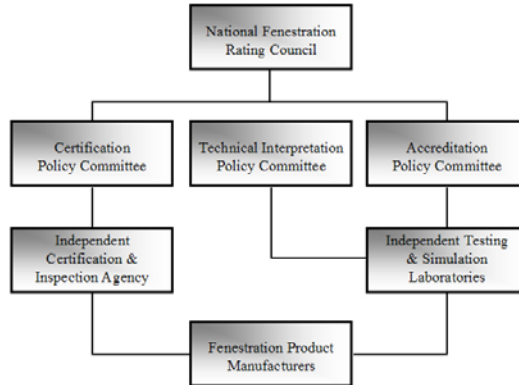
product certification authorization.

Products that are labeled with the NFRC Temporary and Permanent Label in accordance with NFRC requirements are considered to be NFRC-certified. NFRC maintains a Certified Products Directory, listing product lines and individual products selected by the manufacturer/responsible party for which product certification authorization has been granted.

NFRC manages the Rating System and regulates the Product Certification Program (PCP), Laboratory Accreditation Program (LAP) and Certification Agency Program (CAP) in accordance with the PCP, the LAP, and the CAP procedures, and conducts compliance activities under all these programs as well as the Compliance and Monitoring Program. NFRC continues to develop the Rating System and each of the programs.

NFRC owns all rights in and to each of the PCP, LAP, CAP, Compliance and Monitoring Program, and each procedure, which is a component of the Rating System, as well as each of its registration marks, trade names, and other intellectual property.

Figure 1



Questions on the use of this procedure should be addressed to:

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DISCLAIMER

NFRC certification is the authorized act of a fenestration manufacturer/responsible party in labeling a fenestration product with an NFRC Label, which bears one or more energy performance ratings reported by NFRC-accredited simulation and testing laboratories and authorized for certification by an NFRC-licensed IA. Each of these participants acts independently to report, authorize for certification and certify a rating.

NFRC certification does not constitute a warranty of NFRC regarding any characteristic of a fenestration product. Certification is not an endorsement of or recommendation for any fenestration product or product line or any attribute of a product or product line. NFRC is not a merchant in the business of selling fenestration products and therefore cannot warrant products as to their merchantability or fitness for a particular use.

NFRC THEREFORE DISCLAIMS ANY AND ALL LIABILITY THAT MAY ARISE FROM OR IN CONNECTION WITH SERVICES PROVIDED BY, DECISIONS MADE BY OR REPORTS OR CERTIFICATIONS ISSUED OR GRANTED BY ANY NFRC-ACCREDITED LABORATORY, NFRC-LICENSED IA OR ANY PRODUCT MANUFACTURER/RESPONSIBLE PARTY; RELIANCE ON ANY NFRC PRODUCT DESCRIPTION, SPECIFICATION, RATING, TEST OR CERTIFICATION, WHETHER APPEARING IN A REPORT, A PRODUCT CERTIFICATION AUTHORIZATION OR A PRINTED OR ELECTRONIC DIRECTORY, OR ON A LABEL; OR THE SALE OR USE OF ANY NFRC-RATED OR -CERTIFIED FENESTRATION PRODUCT OR PRODUCT LINE; INCLUDING BUT NOT LIMITED TO DAMAGES FOR PERSONAL OR OTHER INJURY, LOST PROFITS, LOST SAVINGS OR OTHER CONSEQUENTIAL OR INCIDENTAL DAMAGES.

NFRC program participants are required to indemnify NFRC from and against such liability.



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1. INTRODUCTION

The following Fee Schedule includes NFRC fees for participating in the Product Certification Program (“PCP” or Certification Program)*, the Certification Agency Program (“CAP” or IA Program) and the Laboratory Accreditation Program (“LAP” or Accreditation Program).

[Please Note: Refer to Table 1 of the *NFRC Compliance and Monitoring Program* document (a stand-alone document, which can be found on the NFRC Website) for the listing of fines imposed against any individual or entity found to have engaged in a “prohibited activity” as defined by the Compliance and Monitoring Program.]

* Fees incurred by PCP participants for services provided by Certification and Inspection Agencies (IAs) and Accredited Laboratories are separate and apart from the NFRC PCP fees listed in Section 2; please contact the respective agency or lab for information regarding those fees.

2. NFRC PRODUCT CERTIFICATION PROGRAM (PCP) AND SPECTRAL DATA APPROVAL PROGRAM FEES

Fenestration Product and Attachment Product Manufacturers	Voting Members	Non-Members
Annual Participation Fee ⁽¹⁾	\$1,100	\$1,650
Per Label Fee for Fenestration products ⁽²⁾ (up to 4 million labels annually)	\$0.011 (\$44,000 max annually)	\$0.011 (\$44,000 max annually)
Per Label Fee for Attachment Products boxed/packaged in rolls \leq 10 lineal feet ⁽³⁾	\$0.011	\$0.011
Per Label Fee for Attachment Products boxed/packaged in rolls $>$ 10 lineal feet	\$0.055	\$0.055
Annual Per Product Line Fee ⁽⁴⁾ (up to 50 product lines)	\$110 (\$5,500 max)	\$165 (\$8,250 max)

Private Labelers/Resellers/Distributors

Annual Participation Fee	\$1,100	\$1,650
Per Label Fee for Fenestration products ⁽²⁾ (up to 4 million labels annually)	\$0.011 (\$44,000 max annually)	\$0.011 (\$44,000 max annually)
Annual Per Product Line Fee	NA	NA

Glazing Suppliers & Attachment Product Manufacturers⁽⁵⁾

Annual Participation Fee for Glazing Suppliers ⁽⁶⁾	\$1,100	\$1,650
Annual Per Product Fee ^(7,8) (up to 100 products)	\$82.50 (\$8,250 max annually)	\$137.50 (\$13,750 max annually)

Site-Built Licensees⁽⁹⁾

Participation Fee (per project) ⁽¹⁰⁾	\$110
Label Certificate Fees (per project) Certified Site-Built Fenestration Area	
\leq 1000 ft ²	\$22
\geq 1000 to 10,000 ft ²	\$110
\geq 10,000 to 50,000 ft ²	\$275

$\geq 50,000$ to $100,000$ ft ²	\$550
$\geq 100,000$ ft ²	\$1,100

Notes:

- (1) Manufacturers licensed under the NFRC Certification Program.
- (2) Based on the number of products labeled. Manufacturers shall have the option of paying the maximum annual Per Label Fee without reporting the actual number of products labeled. However, manufacturers using this option shall provide an estimate of the number of products labeled on an annual basis. The estimate for any given year shall be provided no later than April 1 of the following year.
- (3) Based on the number of labeled boxes/packages containing an attachment product.
- (4) Based on the number of Product Lines authorized for certification by the manufacturer's IA and listed on Schedule II of the manufacturer's License Agreement with NFRC.
- (5) Suppliers of glazing spectral data files for use in determining NFRC U-factor, Solar Heat Gain Coefficient, Visible Transmittance and/or Condensation Resistance ratings.
- (6) Annual Participation fee does not apply to Attachment Product Manufacturers.
- (7) Based on the number of spectral data files provided by the glazing suppliers and Attachment Product Manufacturers and authorized for use to determine U-factor, Solar Heat Gain Coefficient, Visible Transmittance and Condensation Resistance ratings
- 8 For film manufacturers one product line is comprised of the three required spectral data files provided and authorized for use to determine Solar Heat Gain Coefficient and Visible Transmittance of the applied film product.
- (9) Responsible Party licensed under the NFRC Certification Program for Site-Built products.
- (10) Fee assessed per project for use of an unlimited number of NFRC Label Certificates based on square footage of fenestration product area authorized for certification.

2.1 Small Business Program

A Small Business shall be defined as a company with annual gross sales of fenestration and/or attachment products of \$2 million or less.

Manufacturers wishing to participate in the Small Business Program shall provide NFRC with a letter of affidavit that the annual gross sales of fenestration products of their company are \$2 million or less. This shall be confirmed on an annual basis.

For manufacturers participating in the Small Business Program, the fee schedule shall be:

Annual Participation Fee	\$550.00
Annual Per Label Fee	NA
Annual Per Product Line Fee	\$55.00

3. NFRC CERTIFICATION AGENCY PROGRAM (CAP) FEES

Initial Application Processing (non-refundable)	\$550
Accreditation Application Renewal ⁽¹⁾	\$220
Initial Inspection Fee ⁽²⁾	\$1,100/day plus expenses

IA Licensing and Inspection Fees

Annual IA Licensing Fee	\$8,800 ⁽³⁾
Annual IA Workshop Fee (includes 1 person)	\$385/IA ⁽⁴⁾
Additional workshop attendees	\$55/each
Additional Days of Inspection as required	\$1,100/day plus expenses ⁽⁵⁾

Notes:

- (1) An application for renewal of licensing, indicating any changes in status since the previous application, is required annually.
- (2) Inspection conducted to determine compliance with requirements for initial licensing (approximately two days). Travel and related inspection expenses billed at cost.
- (3) Licensing fee for program participation. This figure also includes all labor, travel and related expenses for one annual on-site inspection (two days) and one annual in-plant inspection (one day).
- (4) Annual IA workshop attendance by the individual-in-responsible charge is required.
- (5) Fees for any additional days needed during initial or annual on-site IA inspections (beyond two days) or additional days needed for annual in-plant inspections (beyond one day).

4. NFRC LABORATORY ACCREDITATION PROGRAM (LAP) FEES

Initial Application Processing (non-refundable).	\$550
Accreditation Application Renewal ⁽¹⁾	\$220
Initial Test Laboratory or Simulation Laboratory Inspection Fee Expenses	\$1,100/day plus

Testing Laboratory Accreditation⁽²⁾

Annual Accreditation Fee (one location)	\$7,700 ⁽³⁾
Annual Accreditation Fee (each additional location) expenses.	\$3,850/day plus ⁽⁴⁾
Additional Days Inspection as required	\$1,100/day ⁽⁵⁾
Annual Laboratory Workshop Fee (includes 1 person)	\$385/lab
Additional workshop attendees	\$55
Additional Inspections other than Annual Inspection expenses	\$1,100/day plus ⁽⁶⁾

Simulation Laboratory Accreditation⁽⁷⁾

Annual Accreditation Fee	\$3,300 ⁽⁸⁾
Annual Accreditation Fee (each additional location) Expenses	\$1650/day plus ⁽⁹⁾
Simulation Accreditation Workshop (4 days)	\$1,825person ⁽¹⁰⁾
Annual Laboratory Workshop Fee (includes 1 person).	\$385/lab
Each additional workshop attendee	\$55
Additional Inspections other than Annual Inspection Expenses	\$1,100/day plus ⁽¹¹⁾
Additional Days Inspection as required.	\$1,100/day ⁽¹²⁾

Notes:

- (1) An application for renewal of accreditation indicating any changes in status since the previous application; is required annually.
- (2) Testing laboratory inspections and round robin participation are required annually.
- (3) This figure includes all labor, travel and related expenses for one annual on site laboratory inspection (maximum of 3 days), competence assessment and the annual round robin testing. If accreditation is granted after the initial inspection, the per-day fee from the initial inspection shall be applied to the annual accreditation fee.

- (4, 9) This figure includes one on-site laboratory inspection and competence assessment, annual round robin testing/evaluation and all travel and related expenses for one annual inspection per each additional location.
- (5, 12) This figure includes all labor, travel and related expenses for each additional day on-site.
- (6, 11) Inspections, announced or unannounced, that are deemed necessary by the Accreditation Policy Committee.
- (7) Simulation laboratory reviews, technical evaluations and round robin participation are required annually.
- (8) This figure includes all labor, travel and related expenses for one annual on-site laboratory inspection, if required (approximately 1 day), competence assessment, technical evaluation and the annual round evaluation. This figure also covers initial competence evaluations (or up to two simulators-in-responsible-charge).
- (10) Required prior to accreditation.
- (12) This figure includes all labor, travel and related expenses for each additional day on-site.